### PATENT COOPERATION TREATY

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

To:

PREMRU, Rok Via De Amicis 4 I-20052 Monza (MI) ITALIE

### $\mathsf{PCT}$

NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(PCT Rule 71.1)

Date of mailing

(day/month/year)

16.02.2006

Applicant's or agent's file reference

0416/RP

IMPORTANT NOTIFICATION

International application No. PCT/IB2004/003877

International filing date (day/month/year) 25.11.2004

Priority date (day/month/year) 27.11.2003

Annlicant

NUOVA PANSAC S.P.A. et al.

- The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary report on patentability and its annexes, if any, established on the international application.
- A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

The applicant's attention is drawn to Article 33(5), which provides that the criteria of novelty, inventive step and industrial applicability described in Article 33(2) to (4) merely serve the purposes of international preliminary examination and that "any Contracting State may apply additional or different criteria for the purposes of deciding whether, in that State, the claimed inventions is patentable or not" (see also Article 27(5)). Such additional criteria may relate, for example, to exemptions from patentability, requirements for enabling disclosure, clarity and support for the claims.

Name and mailing address of the international preliminary examining authority:

<u>@</u>))

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Form PCT/IPEA/416 (January 2004)

France Van Verrey

### PATENT COOPERATION TREATY

## **PCT**

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

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	icant's or agent's file 6/RP	reference	FOR FURTHER A	CTION	See Form PCT/IPEA/416		
	International application No. PCT/IB2004/003877		International filing date 25.11.2004	(day/month/year)	Priority date (day/month/year) 27.11.2003		
	national Patent Class IC55/00, B29C55	• •	national classification and I	PC			
	Applicant NUOVA PANSAC S.P.A. et al.						
1.	This report is the Authority under A	international pre Article 35 and trai	eliminary examination rensmitted to the applican	eport, established by t	his International Preliminary Examining 36.		
2.	This REPORT co	onsists of a total of	of 5 sheets, including t	his cover sheet.			
3.	This report is als	o accompanied b	y ANNEXES, comprisi	ng:			
	a. 🛭 sent to th	e applicant and to	o the International Bure	au) a total of 4 shee	ts, as follows:		
	and/o	ts of the descripti or sheets containi nistrative Instruct	ng rectifications authori	ngs which have been zed by this Authority	amended and are the basis of this report (see Rule 70.16 and Section 607 of the		
	beyo	ts which superse nd the disclosure lemental Box.	de earlier sheets, but w in the international app	hich this Authority co lication as filed, as in	nsiders contain an amendment that goes dicated in item 4 of Box No. I and the		
	sequence	listing and/or tab	Bureau only) a total of (in oles related thereto, in o Listing (see Section 80	omputer readable for	ber of electronic carrier(s)) , containing a m only, as indicated in the Supplemental e Instructions).		
4.	This report contains indications relating to the following items:						
	☑ Box No. I	Basis of the opl	nion				
	☐ Box No. II	Priority					
	☐ Box No. III	Non-establishm	ent of opinion with rega	ard to novelty, inventiv	e step and industrial applicability		
	☐ Box No. IV	Lack of unity of	invention				
	⊠ Box No. V		ement under Article 35(2 ations and explanations		lty, inventive step or industrial ement		
	☐ Box No. VI	Certain docume					
	☐ Box No. VII		in the international app				
	☑ Box No. VIII	Certain observa	ations on the internation	al application			
Date	of submission of the	demand		Date of completion of	this report		
02.0	02.08.2005			16.02.2006			
Nam	ne and mailing addre	ss of the internation	nal	Authorized Officer	Auge Pelders.		
preliminary examining authority:  European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465			656 epmu d	Attalla, G			
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# IAP12 Rec'd FUT/F. J 18 MAY 2006

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/IB2004/003877

_	Day No. I	Decis of the concre	<del></del>			
	Box No. I	Basis of the report				
1.	With regard filed, unless	With regard to the <b>language</b> , this report is based on the international application in the language in which it w <i>a</i> filed, unless otherwise indicated under this item.				
	which inte	is the language of a to ernational search (und plication of the interna	slations from the original language into the following language, anslation fumished for the purposes of: ler Rules 12.3 and 23.1(b)) tional application (under Rule 12.4) examination (under Rules 55.2 and/or 55.3)			
2.	have been	furnished to the recei	the international application, this report is based on (replacement sheets which ving Office in response to an invitation under Article 14 are referred to in this e not annexed to this report):			
	Description	, Pages				
	2, 3, 5-7		as originally filed			
	1, 4		received on 05.08.2005 with letter of 02.08.2005			
c	Claims, Nur	mbers				
	1-11		received on 05.08.2005 with letter of 02.08.2005			
ı	Drawings, Sheets					
	1/1		as originally filed			
	□ a sequ	ence listing and/or an	y related table(s) - see Supplemental Box Relating to Sequence Listing			
3.	<ul> <li>☐ The amendments have resulted in the cancellation of:</li> <li>☐ the description, pages</li> <li>☐ the claims, Nos.</li> <li>☐ the drawings, sheets/figs</li> <li>☐ the sequence listing (specify):</li> <li>☐ any table(s) related to sequence listing (specify):</li> </ul>					
4.	<ul> <li>□ This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).</li> <li>□ the description, pages</li> <li>□ the claims, Nos.</li> <li>□ the drawings, sheets/figs</li> <li>□ the sequence listing (specify):</li> <li>□ any table(s) related to sequence listing (specify):</li> </ul>					
	* If it	em 4 applies, so	ome or all of these sheets may be marked "superseded."			

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No. PCT/IB2004/003877

Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes: Claims

1-10

No:

Claims

11

Inventive step (IS)

Yes: Claims

1-9

Industrial applicability (IA)

No: Claims

10,11 1-11

Yes: Claims

No: Claims

2. Citations and explanations (Rule 70.7):

see separate sheet

Box No. VIII Certain observations on the international application

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

see separate sheet

### Re Item V

- 1. The application does not meet the requirements of Art. 33(2) PCT because the subject matter of claim 11 is not novel.
- 1.1 Document WO-A-0132116 (D1) discloses the use of a mixture of olefins, styrenic thermoplastic elastomers and filler to produce breathable elastic film (cf. D1, claims 1 to 5). D1, in claim 14, discloses concentration ranges of styrenic thermoplastic elastomer, filler and polyolefin which overlap the corresponding ranges disclosed in claim 11 of the present application. Therefore also the subject matter of this claim is not novel over D1.
- 2. The application does not meet the requirements of Art. 33(3) PCT because the subject matter of claim 10 does not involve an inventive step.
- 2.1 Document EP-B-1226013 (D2) discloses a plant suitable for producing a breathable elastomeric polyolefin film comprising in succession (cf. D2, claim 3 and fig. 1) a bubble extruder (1), a calender for squeezing the tubular film (2) in order to obtain two superposed layers, means for heating the squeezed tubular film (3,4), a calender to press the film (5) in order to join the two original layers together, means for cooling the film (5), means for stretching the film transversally and/or longitudinally (6,8) and a reeling machine (9) for winding the film to a roll.

Stabilisation (or stress relaxation) of a stretched film is a conventional operation in stretch film manufacturing which, moreover, is normally performed in the same stretching apparatus (in fact the applicant has indicated in the original application the means for stabilisation with the same numerals 6 and 8 as the means for stretching).

Therefore means for stretch stabilisation of the film are considered to be implicitly present in the apparatus disclosed in D1.

The apparatus of claim 10 differs from that of D1 for additionally having means for coupling the extruded film to a separating material.

The additional means solve the problem to avoid that successive layers of rolled film stick together and the film is damaged upon unwinding (cf. description, page 6, line 22-23). The use of a release film and of the means to wind said release film as interlayer together with the extruded film, in order to solve the above problem, is well known in the art (cf. e.g. WO-

### INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY (SEPARATE SHEET)

International application No.

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A-9962695 (D3), fig. 1; page 2, lines 18-29).

For this reasons to the subject matter of claim 10 cannot be recognised an inventive step.

- 3. Document D2 is the closest state of the art for the method of claim 1. The subject matter of claim 1 differs from D2 for the addition of a styrenic thermoplastic elastomer to the mixture of polyolefin and filler. It is believable that the addition of the elastomer avoids rupture of the film when adapted to the surface to be covered (cf. description, page 1, line 20-21). The use of a styrenic thermoplastic elastomer in a process of the type disclosed in D2 is neither known nor suggested in the available prior art. Therefore the subject matter of claim 1 is considered to fulfil the requirements of Art. 33 PCT.
- 4. Claims 2 to 9 are dependent upon claim 1. Consequently their subject matter also meets the requirements of Art. 33 PCT.





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PROCESS AND PLANT FOR MAKING A BREATHABLE, ELASTIC POLYOLEFIN FILM

This invention relates to a process for preparing a breathable elastic polyolefin film, a plant for implementing such process and the use of a mixture of polyolefins and thermoplastic elastomers for making a breathable elastic film.

Breathable polyolefin films are used in various technical fields, particularly for making products designed to be impervious to liquids while allowing air and vapor to pass therethrough.

From patent EP-B1-1 226 013, in the name of the applicant hereof, to which reference is made for a better understanding of the prior art, a process is known for making breathable polyolefin films by transversely and/or longitudinally stretching a polyolefin film, added with CaCO<sub>3</sub> fillers or equivalent materials.

According to the teaching of patent EP-B1-1 226 013, the polyolefin film to be stretched is obtained from a process which includes the steps of: producing a tubular by blow extrusion moleting, squeezing the tubular to obtain two superimposed layers, heating the two superimposed layers to the softening point, pressing the two layers together to strongly join them and cooling the film thus obtained.

The film obtained by this process has the advantage of allowing higher film stretching rates and ratios, without increasing the risk of generating microholes, which might affect the liquid-imperviousness properties of the film.

The breathable polyolefin films that result from the process of patent EP-B1-1 226 013 have further drawbacks.

Particularly, the film may not be easily adapted to the surface to be covered, without risking the rupture thereof, while providing an adequate liquid-tightness.

The object of this invention is to provide a solution to prior art problems and particularly to the above mentioned problem.

EPO - DG 1

05. 08. 2005









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In a possible embodiment of the process, the weight percentages are substantially as follows: 27% olefins, 27% styrenic thermoplastic elastomer and 46% fillers.

This mixture is blow moded by means of a round head extruder 1, thereby obtaining a tubular 10.

The temperature of the tubular 10 that is fed by the extruder 1 is of 150 to 230°C and preferably of 170 to 190°C.

The blowing ratio of the tubular 10 may be of 1:2 to 1:4 and preferably of 1:3.

The blow extrusion mothed tubular 10 is further calendered.

Particularly, the tubular 10 is fed, at a temperature of about 80 to 120°C, and more preferably of about 100°C, into a first calender 2, in which it is pressed and extended until it assumes a web shape 11, formed by two superimposed layers, whose width is half the circumference of the tubular and whose thickness is twice the thickness of the tubular.

The provision of two superimposed layers reduces the risk that, during the subsequent stretching step, the film may be damaged, i.e. that it may have areas with an imperfect liquid imperviousness.

In fact, the possibility that the film may be torn at the same position on both layers is extremely rare.

The calender 2 which is used to stretch-thin the tubular 10 has a pair of mated smooth rollers, the former being made of chromium plated steel and the latter being made of rubber with a hardness of 60 to 80 shores: the pressure exerted by the calendar roller 2 on the compressed tubular 10 is of 5 to 10 kg/cm<sup>2</sup>.

After being flattened, the film 11 is heated to the softening point.

This temperature depends on the type of extruded mixture, and may be indicatively of 80 to 130°C, more preferably of about 100°C.

Such heating process assists removal of moisture or low-evaporation point additives in the extrusion mixture.





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### AMENDED CLAIMS

[received by the International Bureau on 22<sup>nd</sup> April 2005 (22.04.2005); original claims 1-13 replaced by new claims 1-11]

#### + STATEMENT

- 1. A process for preparing breathable, elastic polyolefin films, including the steps of:
  - blow molding a mixture of olefins, styrenic thermoplastic elastomers and filler to facilitate the generation of porosity by stretching;
  - squeezing the tubular to obtain a flat film composed of two superposed layers;
  - heating the flat film to the softening point;
  - pressing the flat film in order to join the two original layers together;
  - cooling the flat film to a temperature of 8 to 30°C;
  - stretching the film in the transverse and/or longitudinal directions to make it breathable.
- 2. A process as claimed in claim 1, further comprising the steps of:
  - coupling a separating material to the breathable elastic film;
  - winding the film coupled with the separating material, into a roll.
- 3. A process as claimed in claim 1 or 2, wherein said mixture comprises 30% to 70% by weight fillers, 10% to 40% by weight styrenic thermoplastic elastomers and 10% to 50% by weight olefins.
- 4. A process as claimed in claim 1, 2 or 3, wherein said separating material has a continuous structure.
- 5. A process as claimed in claim 4, wherein said separating material is a paper or nonwoven fabric film.
- A process as claimed in claim 5, wherein said paper or nonwoven fabric film is coupled to said extruded film by an adhesive.
- 7. A process as claimed in claim 5, wherein said paper or nonwoven fabric film is coupled to said extruded film (11) without using adhesives.

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- 8. A process as claimed in claim 1, 2 or 3, wherein said separating layer has a discontinuous structure.
- 9. A process as claimed in claim 8, wherein said separating layer is made of a powdered material.
- 10. A plant for producing a breathable elastomeric polyolefin film, including, in succession:
  - a blow mothing extruder (1) for extruding a tubular (11);
  - a calender (2) for squeezing the extruded tubular (11) fed from the blow molding extruder in order to obtain two superposed layers;
  - means (3, 4) for heating the squeezed extruded tubular film to the softening point;
  - a calender (5) to press the film that was previously heated to the softening point in order to join the two original layers together;
  - means (5) for cooling the compressed film to a temperature of 8 to 30°C;
  - means (6, 8) for stretching the film (11) in the transverse and/or longitudinal directions;
  - means for stretch stabilization by cooling the extruded film (11);
  - means (12, 13, 14) for coupling the extruded film (11) to a separating material;
  - a reeling machine (9) for winding the film (11) coupled to said separating material into a roll (18).
- 11. A use of a mixture of olefins, styrenic thermoplastic elastomers and filler to produce a breathable elastic film wherein the amount of styrenic thermoplastic elastomers is of 20% to 30% by weight, the amount of filler is of 30% to 70% by weight and the amount of olefins is of 27% to 34% by weight.



